PATENT



Docket No.: 070456-0016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Customer Number: 20277

Kouichi OKUGAMI, et al.

Confirmation Number: 4835

Application No.: 10/787,221

Group Art Unit: 3682

Filed: February 27, 2004

Examiner: Justin Krause

For: TRANSMISSION COMPONENT, METHOD OF MANUFACTURING THE SAME, AND

TAPERED ROLLER BEARING

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

Each non-English language reference was first cited in a corresponding foreign application search report and its relevance discussed therein. A copy of the foreign search report, is attached for the Examiner's information.

10/787,221

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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Please recognize our Customer No. 20277 as our correspondence address.

SHEET 1 OF 1

INFORMATION DISCLOSURE ATTY, DOCKET NO. SERIAL NO. 070456-0016 10/787,221 CITATION IN AN APPLICATION APPLICANT Kouichi OKUGAMI, et al. FILING DATE **GROUP** (PTO-1449) February 27, 2004 3682 **U.S. PATENT DOCUMENTS EXAMINER'S** CITE Document Number **Publication Date** Name of Patentee or Applicant of Cited Pages, Columns, Lines, Where INITIALS MM-DD-YYYY Relevant Passages or Relevant Number-Kind Code2 (# known) Document Figures Appear 2003/0123769 A1 07/03/2003 Ohki US 6,224,688 B1 05/01/2001 Takemura et al. US 6.149.734 11/21/2000 Isogai et al. US 6,440,232 B1 08/27/2002 Takemura et al. US 6,342,109 B1 01/29/2002 Takemura et al. US 6,165,289 12/26/2000 Matsumoto et al. US 4,971,634 11/20/1990 Shibata et al. US US FOREIGN PATENT DOCUMENTS **EXAMINER'S** Foreign Patent Document Publication Date Name of Patentee or Applicant Pages, Columns, Translation INITIALS of Cited Document Country Codes -Number 4 -Kind Codes (if known) Lines Where CITE MM-DD-YYYY Relevant Figures Yes No NO. Appear EP 1 411 142 A1 04/21/2004 **NTN CORPORATION** X EP 0 600 421 A1 06/08/1994 **SUMITOMO ELECTRIC** X **INDUSTRIES, LIMITED** DE 42 04 982 A1 08/26/1993 **HOECHSTADTER GERMAN MASCHINENFABRIK S** (w/English Abstract) EP 1 070 760 A2 01/24/2001 NISSAN MOTOR CO., LTD. OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, **EXAMINER'S** INITIALS journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where CITE published. NO. **EXAMINER** DATE CONSIDERED

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.